

FBSI-25,93

COPY OF PAPERS
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael R. Roehrs, Daniel C. Roehrs and Bryan D. Cull
 Appl. No.: 09/986,639
 Filed: November 6, 2001
 Grp./A.U.: 2874
 Title: FIBER OPTIC CONNECTOR HAVING TRANSLATING TERMINI

Assistant Commissioner for Patents
 Washington, D.C. 20231

Dear Sir:

| | |
|--|--|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231 on <u>MAY 28, 2002</u> | |
| (Date of Deposit) | |
| John J. Arnott (39,095) | |
| (Name of Person Mailing Document) | |
| <u>John J. Arnott</u> | |
| (Signature) | |
| <u>5/28/02</u> | |
| (Date of Signature) | |

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JUN 1 8 2002

OFFICE OF PETITIONS

**SUBMISSION OF MISSING PARTS
 OF APPLICATION UNDER 37 C.F.R. 1.53**

Enclosed are the following items for filing in the above-referenced U.S. Patent Application:


1. Copy of Form PTO-1533 - Notice to File Missing Parts of Application.
2. Declaration and Power of Attorney, executed by applicants Daniel C. Roehrs and Bryan D. Cull on May 28, 2002.
3. Petition for Filing on Behalf of a Non-Signing Joint Inventor Under 37 C.F.R. 1.47, in regard to remaining applicant Michael R. Roehrs, and accompanying Declaration in Support by Daniel C. Roehrs.
5. 20 sheets of substitute drawings in compliance with 37 C.F.R. 1.84.

6. Notification of Extension.
7. A check in the total amount of \$1,354.00 to cover: the filing fee (37 C.F.R. 1.16(a) et seq.) of \$439.00; the surcharge set forth in 37 C.F.R. 1.16(e) of \$65.00; the extension fee pursuant to 1.136(a) for four months extension of \$720.00; and a Petition fee under 37 C.F.R. 1.47 of \$130.00.

Applicant hereby repeats its previous assertion of entitlement to small entity status.

Please charge any additional fees or deficiencies in fees or credit any overpayment to Deposit Account No. 20-0780/FBSI-25,939 of HOWISON, THOMA & ARNOTT, L.L.P.

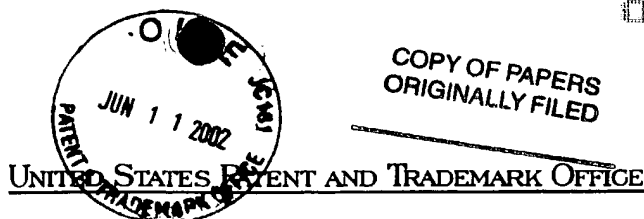
Respectfully submitted,
HOWISON, THOMA & ARNOTT, L.L.P.
Attorneys for Applicants


John J. Arnott
Registration No. 39,095

JJA:keb

P.O. Box 741715
Dallas, Texas 75374-1715
Tele: 972-479-0462
Fax: 972-479-0464
May 28, 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/986,639 | 11/06/2001 | Michael R. Roehrs | FBSI-25,939 |

CONFIRMATION NO. 5614

25883

HOWISON, CHAUZA, HANDLEY & ARNOTT L.L.P.
P.O. BOX 741715
DALLAS, TX 75374-1715

FORMALITIES LETTER



OC000000007126876

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JUN 18 2002

OFFICE OF PETITIONS

Date Mailed: 11/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$138.
 - \$54 for 3 total claims over 20.
 - \$84 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1008.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/13/2002 AWKDAF1 00000140 09986639

| | |
|-----------|-----------|
| 02 FC:201 | 370.00 00 |
| 03 FC:205 | 65.00 00 |
| 04 FC:202 | 42.00 00 |
| 05 FC:203 | 27.00 00 |

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, appearing to be 'J. A. S.', is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



COPY OF PAPERS
ORIGINALLY FILED

09/986,639 06/11/02

DAC

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

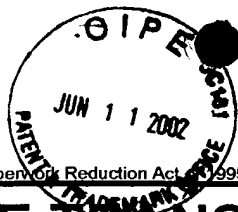
| | | |
|---|-------------------------------|-------------------|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | Application Number | 09/986,639 |
| | Filing Date | 11/06/2001 |
| | First Named Inventor | Michael R. Roehrs |
| | Group Art Unit | 2874 |
| | Examiner Name | To Be Assigned |
| | Attorney Docket Number | FBSI-25,939 |
| Total Number of Pages in This Submission | | |

| ENCLOSURES (check all that apply) | | |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 2px; margin-top: 5px;">[Redacted text]</div> |
| Remarks | | |
| RECEIVED JUN 18 2002 | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | OFFICE OF PETITIONS |
|--|---------------------------------|---------------------|
| Firm or Individual name | HOWISON, THOMA & ARNOTT, L.L.P. | |
| Signature | <i>John J. Arnott</i> (39,095) | |
| Date | 5/28/02 | |

| CERTIFICATE OF MAILING | | | |
|---|-------------------------|------|---------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>5/28/02</u> | | | |
| Typed or printed name | John J. Arnott (39,095) | | |
| Signature | <i>John J. Arnott</i> | Date | 5/28/02 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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094 6639 061102

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1354.00

Complete if Known

| | |
|----------------------|-------------------|
| Application Number | 09/986,639 |
| Filing Date | 11/06/2001 |
| First Named Inventor | Michael R. Roehrs |
| Examiner Name | To Be Assigned |
| Group Art Unit | 2874 |
| Attorney Docket No. | FBSI-25,939 |

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 20-0780/FBSI-25,939
Deposit Account Name: Howison, Thoma & Arnott L.L.P.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-----|----------------------------|----------------------------|------------------------|----------|
| 101 | 740 | 201 370 | Utility filing fee | 370.00 |
| 106 | 330 | 206 165 | Design filing fee | |
| 107 | 510 | 207 255 | Plant filing fee | |
| 108 | 740 | 208 370 | Reissue filing fee | |
| 114 | 160 | 214 80 | Provisional filing fee | |

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

Total Claims: 23
Independent Claims: 4
Multiple Dependent: 19

Extra Claims: 3
Fee from below: 9.00
Fee Paid: 27.00

3** = 1
Fee from below: 42.00
Fee Paid: 42.00

| | Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|-----|----------------------------|----------------------------|--|----------|
| 103 | 18 | 203 9 | Claims in excess of 20 | |
| 102 | 84 | 202 42 | Independent claims in excess of 3 | |
| 104 | 280 | 204 140 | Multiple dependent claim, if not paid | |
| 109 | 84 | 209 42 | ** Reissue independent claims over original patent | |
| 110 | 18 | 210 9 | ** Reissue claims in excess of 20 and over original patent | |

SUBTOTAL (2) (\$) 69.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Fee Code | Large Entity Fee (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------|-----------------------|----------------------------|--|----------|
| 105 | 130 | 205 65 | Surcharge - late filing fee or oath | 65.00 |
| 127 | 50 | 227 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 130 | Non-English specification | |
| 147 | 2,520 | 147 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 55 | Extension for reply within first month | |
| 116 | 400 | 216 200 | Extension for reply within second month | |
| 117 | 920 | 217 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 720 | Extension for reply within fourth month | 720.00 |
| 128 | 1,960 | 228 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 160 | Notice of Appeal | |
| 120 | 320 | 220 160 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 140 | Request for oral hearing | |
| 138 | 1,510 | 138 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 230 | Design issue fee | |
| 144 | 620 | 244 310 | Plant issue fee | |
| 122 | 130 | 122 130 | Petitions to the Commissioner | 130.00 |
| 123 | 50 | 123 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 740 | 249 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 740 | 279 370 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 915.00

SUBMITTED BY

| | | | | | |
|-------------------|-----------------------|-----------------------------------|---------|-----------|--------------|
| Name (Print/Type) | John J. Arnott | Registration No. (Attorney/Agent) | 39,095 | Telephone | 972-680-6059 |
| Signature | <i>John J. Arnott</i> | Date | 5/28/02 | | |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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